



NATIONAL ENDOWMENT FOR THE

Humanities

FEDERAL/STATE PARTNERSHIP

Please complete this form and attach it as a PDF to your Grants.gov application for **State Humanities Councils General Operating Support Grants**, due on June 1, 2017.

Compliance Supplement Form Cover Sheet

Name of Council: Arizona Humanities

Employer Identification Number: 86-0287464

DUNS Number: 123548612

Council website: www.azhumanities.org

Contact Information for the Executive Director (institutional grants administrator)

Full Name: Brenda Thomson

Form of Address: Business

Job Title: Executive Director

Institution Name: Arizona Humanities

Street Address: 1242 N. Central Ave.

City, State, ZIP code: Phoenix, AZ 85004

Phone number: 602-257-0335

Fax Number: 602-257-0392

Email address: bthomson@azhumanities.org

Contact Information for the Council Board Chair (project director)

Full Name: Dr. Barbara Baderman

Form of Address: Home

Job Title: President

Institution Name: Life Long Learning Solutions

Street Address: 25508 N. 50th Dr.

City, State, ZIP code: Phoenix, AZ 85083

Phone number (Cell): 602-717-3253

Fax number:

Email address: lldocbarb@gmail.com

Council Chairperson Certification: Dr. Barbara Baderman

Certification Date: May 31, 2017

Compliance Supplement Form Cover Letter
(Must be completed by Council Board Chair)

May 31, 2017

Joshua Sternfeld
Director
Federal/State Partnership
National Endowment for the Humanities
Washington, D.C. 20506

Dear Mr. Sternfeld,

I hereby certify on behalf of Arizona Humanities that funds paid to the council by the National Endowment for the Humanities will be expended solely on programs that carry out the objectives of the National Foundation on the Arts and Humanities Act of 1965 as amended. I further certify that the council will make reports to the Chairman of the National Endowment for the Humanities in such form, at such times, and containing such information, as the Chairman may require.

Yours sincerely,

Dr. Barbara Baderman
Arizona Humanities



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Council Information Form

Please complete this form and attach it as a PDF to your Grants.gov application for **State Humanities Councils General Operating Support Grants**, due on June 1, 2017. Information from January 1, 2016 through December 31, 2016.

Council Name: Arizona Humanities

Council Address: 1242 N. Central Ave., Phoenix, AZ 85004

Council Phone Number: 602-257-0335

1. **Executive Director** (institutional grants administrator) (include e-mail address):
Brenda Thomson, bthomson@azhumanities.org
2. **Staff List** (include all full- and part-time staff, position titles, and e-mail addresses):
Samantha Anderson, Grants Manager, sanderson@azhumanities.org
Dr. Nicole Blalock, Grants Manager, (employment ended 8/2016)
Ellie Hutchison, Programs Manager, ehutchison@azhumanities.org
Marilyn Murphy, Sr. Marketing and Communications Coordinator,
mmurphy@azhumanities.org
Dyadira Fajardo, Programs and Grants Coordinator, dfajardo@azhumanities.org
Missy Shackelford, Office Administrator, mshackelford@azhumanities.org
3. **Board Chair** (project director) (name, e-mail address, and institutional affiliation):
Dr. Barbara Baderman, lldocbarb@gmail.com, President, Life Long Learning Solutions
4. **Board Member List** (names and institutional affiliations):
 1. Regi Adams, Direct Energy, Manager of Knowledge Management
 2. Andrea Ahmed, Tohono O'odham Nation, Director of Recreation
 3. Gail Bradley, Northern Trust, Vice President
 4. Dr. Lydia Breunig, University of Arizona, College of Social and Behavioral Sciences, Director of Community Outreach
 5. Ryan Bruce, The Bisbee Radio Project (KBRP), Executive Director
 6. Evan Burks, AB Staffing Solutions, LLC, President
 7. Tina Clark, Yuma Crossing National Heritage Area, Archaeologist/Historian/Curator
 8. Dr. Julie Codell, Arizona State University, Barrett Honors College Faculty, Professor, Art History and Film
 9. Anne Doyle, Northern Arizona University, part-time faculty, Museum Studies
 10. Dr. Sharonah Fredrick, Arizona State University, Anthropologist, Assistant Director Arizona Center for Medieval and Renaissance Studies

11. Dr. Sherman Elliott, Gubernatorial Appointee, Grand Canyon University, College of Humanities and Social Sciences, Dean
 12. Rita Hamilton, Phoenix City Library, City Librarian
 13. Randall Rodman Holdridge, Education, retired
 14. Dana Jamison, MD, Southwest Center for Orthopedics & Sports Medicine, PC, President
 15. Dr. George Justice, Arizona State University, College of Liberal Arts and Sciences, Dean of Humanities
 16. Dr. Laurel Kimball, Phoenix Philanthropy Group, Founding Principal
 17. Mary Lu Nunley, Gubernatorial Appointee, City of Phoenix Water Conservation Office, retired
 18. Edgar R. Olivo, Vice Chairman, Compass Career and Business Solutions, LLC, President, Business Development Strategist & Master Business Trainer
 19. Eshé Pickett, Intel Corporation, Cloud Automation Engineer
 20. Albert Monreal Quihuis, Author and Historian
 21. Jaclyn Roessel, Heard Museum, Public Programs and Education Director
 22. Dr. Maire Simington, Banner Health, Senior Director, Physician Resources/Care Management Services
 23. Dianna Soe Myint, Gubernatorial Appointee, Motorola Human Resources, retired
 24. Christine Szuter, Amerind Foundation, Executive Director
 25. Emerson Yearwood, Cable One, Inc., Associate General Counsel-Regulatory Affairs
 26. Marcella Columbus, Manifesto Project Board Apprentice, Fresh Start Women's Foundation, Volunteer and Outreach Coordinator*
- *Non-voting board apprentice

5. **Board Executive Committee** (names and positions):
 - Dr. Barbara Baderman, Chairman
 - Edgar R. Olivo, Vice Chairman
 - Evan Burks, Treasurer
 - Anne Doyle, Secretary
 - Dr. George Justice, Member-at-large

State Council General Operating Support Grants
Compliance Supplement Form

Council Name: Arizona Humanities
Year: 2016
Data entered by: Brenda Thomson

Part 1

Section 1: Board of Directors

A. Board memberships

1. Total number of board members as per by-laws (including gubernatorial appointees):
30
2. Total current board membership: **25**
3. Number of gubernatorial appointees as per by-laws: **6**
4. Number of members on which you base the number of gubernatorial appointees:
25% of membership not to exceed 6 members

B. Terms of board members

5. Regular appointments (in years): **3 years**
 1. Renewable (yes/no): **yes**
 2. Maximum years of continuous service: **6**
6. Gubernatorial appointees (in years): **3 years**
 1. Renewable (yes/no): **yes**
 2. Maximum years of continuous service: **9 years**
 3. Co-extensive with governor's term (yes/no): **yes**

C. Terms of board chairs

1. Length of term (in years): **2**
2. Renewable (yes/no): **no**
3. Maximum year of continuous service: **2**

D. Describe the nomination process for council members and how your council solicits nominations for board members.

We solicit nominations through an active recruitment process using our website, cross-marketing and announcements with cultural partners, and one-on-one communications with prospects statewide. The Board Governance Committee interviews candidates for election to the board twice annually, and when vacancies arise.

E. Additional comments?

We employ a board matrix to recruit members who are diverse by skill, gender, race, ethnicity, geography, professional affiliation, and other criterion to further the mission of Arizona Humanities to provide statewide humanities resources.

Section 2: Public Access

A. Government

1. Is the governor provided with regular and complete information on all council activities? **Yes**

2. Are appropriate federal, state, and local officials provided with information on all council activities? **Yes**

3. Formats used to provide information on council activities to federal, state, and local officials (for example, annual reports, newsletters, emails):

We provide information on council activities through our Annual Report, monthly e-newsletter, bi-monthly event/programs e-blasts and press releases, fliers, brochures, rack cards and grantee invitations to events and programs.

B. Public Meetings and Publications

1. The legislation requires that councils hold “public meetings.” Describe how you solicit comments from the public on your programs and activities. Be as specific as possible.

We solicit public feedback in multiple formats including grants info sessions conducted at live workshops, and through webinars. We also collect audience feedback through post-program evaluation forms and surveys, and online surveys.

2. Are your board meetings open to the public? (yes/no) **Yes**

3. Do you distribute a newsletter or magazine — print or electronic? (yes/no) **Yes.**

Electronic Newsletter – 6,600+ active contacts.

A. If yes, how often? **Once per month**

B. Name of newsletter or magazine: **Humanities Now**

4. What other mass mailings — print or electronic — do you send out to keep the public informed of your activities (guidelines, brochures, catalogues)?

Our website and events calendar are updated daily and weekly, programs e-blasts 1-3 times a month (invitations, announcements, public programs), grants e-blasts 1-2 times a month (grant deadlines, opportunities, resources), press releases (program launches, council news), social media, appeals letters, donor acknowledgement letters, *Humanities* magazine mailings, program invitations and flyers (for partners and host sites), annual report (electronic and print), and daily social media updates. The catalogue of speakers and grant guidelines are available on our website.

5. What other means do you use to inform the public? Please also include all websites and social media accounts with appropriate links.

- **www.azhumanities.org**
- **Facebook: facebook.com/azhumanities**
- **Twitter: twitter.com/azhumanities**
- **Instagram: [Instagram.com/azhumanities](https://instagram.com/azhumanities)**
- **Linkedin: linkedin.com/company/arizona-humanities**

We conduct grants and programs information sessions statewide, both in-person and online. We conduct robust email and web communications. We distribute Arizona Humanities programs and resource materials at partner events, statewide museum association conferences, cultural and nonprofit partner websites and e-newsletters, and submit postings to community events calendars. AZ Speaks host organizations and grantees are required to market programs to the public in their communities, and acknowledge Arizona Humanities support. We also provide information through advocacy and outreach efforts to the public, corporations and foundations, community leaders, and individual donors.

6. Additional comments?

C. Council Operations

1. Number of council board meetings per year **5**
2. Other meetings — please describe briefly.

Board Committees:

The Executive Committee meets periodically to plan the future activities of the board, to direct the work of standing and ad hoc committees, to review personnel resources and benefits with the Executive Director, and to provide general fiduciary oversight of the council. The Board Governance Committee oversees the recruitment, training and development of the board, and the development of policies related to board governance. The Development and Advocacy Committee directs council advocacy efforts and the implementation of strategic fundraising and development initiatives. The Finance Committee oversees finance administration, audits and reporting compliance. The Grants Committee oversees Grant award activities, and administers and implements grants policies. The Ad Hoc Awards Committee oversees the annual Humanities Awards nomination and selection process, and supports the event. The Ellis-Shackelford House Committee oversees facility use and engagement.

3. What are the dates of your full council meetings for the next twelve months?

2017:

February 17, 2017

April 21, 2017

June 16, 2017, (annual retreat)

August 18, 2017

October 20, 2017 (annual meeting)

D. By-Laws

The By-laws were amended in 2009. There have been no further amendments.

Section 3: Overview of Grants and Projects

A. Re-grants

1. Number of applications received for funding consideration this past year: **91**
2. Of these, how many were funded? **31**
3. Scholars
 - a. Number of scholars involved in council projects and regrets: **464**
 - b. Please describe your efforts to involve a larger number of scholars:

We recruit scholars for community partnership programs (like our Author + Talk programs) and to serve on our Grants Review committee. We accept scholar applications year-round for our scholar database via our website, through our online grants portal, and from scholars serving on sub-recipient grantee projects. We recognize outstanding scholars annually at our Arizona Humanities Awards ceremony. We renew and update our roster of scholars for our AZ Speaks (Speakers Bureau) program every two years and our FRANK Talks program twice a year. We regularly communicate with the scholar community through e-newsletters, event e-blasts, and social media. In our recruitment efforts we seek to develop and maintain a diverse community of scholars, which includes area of expertise, ethnicity, race, gender, geographic reach, institutional affiliations, and speaking skills.

c. Additional comments?

The scholar numbers above include scholars participating in our grant-funded projects, the scholars participating in our AZ Speaks program, and the scholars participating in council-organized programming. Actual numbers of contributing scholars over calendar 2016 are higher, as the numbers above include only programs and grants which have concluded and reported back to Arizona Humanities at the time of this report.

B. Awards

Please provide us with information concerning prizes or awards that any council-supported projects may have won since your last report. For each award, please include the title, sponsor, and year, and any other pertinent details as appropriate. You may list up to five awards.

Award:

The 2016 Excellence in Diversity and Inclusion Award presented by the Arizona State University Committee for Campus Inclusion (CCI) to Executive Director Brenda Thomson on April 13, 2016 for demonstrating a continuous commitment to cultural diversity, culturally diverse activities, and the promotion of cultural diversity in campus life.

Award:

The Hon Kachina Award presented to Barbara Hatch on May 7, 2016. Hatch is the Founder and Program Director of the Veterans Heritage Project, which

connects students with veterans in their communities, and immerses the students in one-on-one personal accounts of heroism and service to our country. The student interviews, collects and publishes veteran stories. They develop a passion for history, writing, civics, and appreciation for the contributions and personal sacrifices of diverse veterans.

Part 2

Statistics on Re grants and Council-conducted projects

A. Statistics on events and projects held in the previous calendar year

1. Media projects (includes film, television, radio):	1
2. Digital projects (including all web and social media projects):	1
3. Publications (do not include regular council-produced brochures and newsletters):	0
4. Exhibitions:	12
5. Discussion programs (includes book, film, lecture and discussion, conversation projects):	100
6. Conferences, symposia, lectures:	6
7. Literacy projects:	8
8. Festivals (book, film, theater, fairs, other celebrations):	8
9. Performances and presentations (including living history, Chautauqua, Speakers Bureau):	319
10. Fellowships for college and university faculty:	0
11. Projects for K-12 teachers (including institutes, seminars, workshops, fellowships, awards, curricular projects):	2
12. Projects for students (including History Day, authors/scholars in schools, oral history):	14
13. Preservation and access projects:	0
14. Local history projects (cultural heritage, walking tours, cultural trips, research, local oral history projects):	6

B. Statistics on audience and beneficiaries for projects and events in the previous calendar year

1. Media projects (includes film, television, radio):	40
2. Digital projects (including all web and social media projects):	492

3. Publications (do not include regular council-produced brochures and newsletters):	0
4. Exhibitions:	13,427
5. Discussion programs (includes book, film, lecture and discussion, conversation projects):	32,793
6. Conferences, symposia, lectures:	715
7. Literacy projects:	664
8. Festivals (book, film, theater, fairs, other celebrations):	7,126
9. Performances and presentations (including living history, Chautauqua, Speakers Bureau):	18,318
10. Fellowships for college and university faculty:	0
11. Projects for K-12 teachers (including institutes, seminars, workshops, fellowships, awards, curricular projects):	55
12. Projects for students (including History Day, authors/scholars in schools, oral history):	4,336
13. Preservation and access projects:	0
14. Local history projects (cultural heritage, walking tours, cultural trips, research, local oral history projects):	78,025

C. Please list efforts to reach broader audiences:

We work to reach new audiences through established programs and new programs. Our well-established and popular AZ Speaks program is a statewide program with particular appeal in rural communities. The Programs Manager targeted rural communities including but not limited to Patagonia, San Carlos Apache Reservation, Wickenburg, Casa Grande, Florence, Sedona, Miami-Globe, Yuma, Payson, and Goodyear; as well as, underserved communities in urban areas such as South Tucson and South Phoenix. Some programs targeted incarcerated individuals (mainly women) and their families. Through the *Legacy of Race Grant* we hosted film and author discussions, community forums, and artist evenings. We launched FRANK Talks in 2016, which engage community members in conversations on topics of current interest at libraries throughout the state. We awarded Mini Grants to capacity-build and to help establish relationships with small nonprofits (those with an annual budget less than \$500,000), many of whom we have not been funded in the past.

D. Please list your specifically targeted underserved groups with number of events and audience figures for each group. Examples: seniors, rural populations, youth, indigenous populations.

**Projects for students and youth – 21 events, 4,989 reached
Families of incarcerated individuals – 2 events, 67 reached
Rural Communities – 14 events, 3,090 reached
Indigenous – 1 event, 32 reached**

E. Please list all of your Congressional districts with the number of events held in each one in the past calendar year.

1 – 127

2 – 55

3 – 50

4 – 98

5 – 13

6 – 12

7 – 65

8 – 28

9 – 27

F. Additional comments?

Actual numbers of grant events and audience above over calendar 2016 are higher; the numbers above include only programs and grants that have concluded and reported to Arizona Humanities at the time of this report.